## **Graduation Verification Assessment Tool** for Orphans and Vulnerable Children Programs

#### Instructions for Graduation Verification Assessment:

Data collection should be carried out by trained interviewers. Ideally, they should be external to project service delivery. The interviewer should complete this form with each household as soon as possible after it is assessed for graduation (ideally within one month of assessment). This short timeframe is crucial because the CTV Survey assumes that the information in this survey has not changed since the household was assessed for graduation and that household members will therefore give the same responses to this survey as they did when assessed by a caseworker.

#### Before meeting with the primary caregiver or other members of the household, the interviewer should first go through the questionnaire below and pre-fill with information already gathered and available in the household's casefile. Throughout the document, instructions to the interviewer are given in **bold type**.

All applicable benchmarks must be assessed for all children, adolescents, and youth in the household and all primary caregivers (maximum two caregivers per child). For the household to meet the benchmarks for graduation, all applicable benchmarks must be met for all primary caregivers, all children and adolescents ages 0–17, and all youth ages 18–20 and still in secondary school.

The interviewer <u>must</u> obtain informed consent from each member of the household included in the survey before carrying it out. The benchmarks apply to individuals and households as follows:

	Beneficiaries			House-		
Benchmarks	All ages	HIV +	10-17 yrs	0-4 yrs	School age	holds
Known HIV status (or test not required)	1					
Adherent / Virally supressed		1				
Knowledgeable about HIV prevention			1			
Not malnourished				1		
Financially stable						~
No violence reported						1
Not in a child-headed household						1
Children in school					1	

### **Cover Sheet**

Date of assessment:	Name of CBO:
Name of interviewer conducting assessment:	
Household (HH) ID: Household address:	Date HH assessed for graduation:
Primary caregiver 1 ID:	Primary caregiver 2 ID:
Primary caregiver 1 gender: Female 🗌 Male 🗌	Primary caregiver 2 gender: Female 🗌 Male 🗌
Primary caregiver 1 age:	Primary caregiver 2 age:

# Please list below all children and adolescents ages 0–17 years and all youth ages 18–20 years and still in secondary school that are living in the household

	, ,				
	Full name of child	Age	Gender (M/F)	Unique ID	Registered in this OVC program?
1.					Yes 🗌 No 🗌
2.					Yes 🗌 No 🗌
3.					Yes 🗌 No 🗌
4.					Yes 🗌 No 🗌
5.					Yes 🗌 No 🗌
6.					Yes 🗌 No 🗌
7.					Yes 🗌 No 🗌
8.					Yes 🗌 No 🗌

*Note:* If there are more than two primary caregivers or more than eight children, adolescents, or youth in the household, please attach additional Cover Sheets with data on additional members of the household. There may be up to two primary caregivers per child or adolescent.

### Cover Sheet (additional members of the HH)

Date of assessment:	Household ID:
Name of interviewer conducting assessment:	
Primary caregiver 3 ID:	Primary caregiver 4 ID:
Primary caregiver 3 gender: Female 🗌 Male 🗌	Primary caregiver 4 gender: Female 🗌 Male 🧲
Primary caregiver 3 age:	Primary caregiver 4 age:

# Please list below all children and adolescents ages 0–17 years and all youth ages 18–20 years and still in secondary school that are living in the household

	Full name of child	Age	Gender (M/F)	Unique ID	Registered in this OVC program?
9.					Yes 🗌 No 🗌
10.					Yes 🗌 No 🗌
11.					Yes 🗌 No 🗌
12.					Yes 🗌 No 🗌
13.					Yes 🗌 No 🗌
14.					Yes 🗌 No 🗌
15.					Yes 🗌 No 🗌
16.					Yes 🗌 No 🗌
17.					Yes 🗌 No 🗌
18.					Yes 🗌 No 🗌
19.					Yes 🗌 No 🗌
20.					Yes 🗌 No 🗌

### **Informed Consent**

*Instructions:* Informed consent must be obtained from <u>each</u> member of the household who will be asked questions as part of this survey. Each respondent must sign individually on a separate page. Respondents who are illiterate and not able to sign their names can sign with a thumbprint.

Hello. My name is \_\_\_\_\_\_ and | am working with [research organization] here in [country] on behalf of [lead organization] and USAID/[country]. We are part of the team that works with communities on programs that support the health and well-being of orphans and vulnerable children. We are visiting your community to conduct a survey of beneficiary households so that we can understand and document the services you receive from [CBO name]. We will use this information to improve our programs and would appreciate your participation. The survey will not take long to complete. Whatever information you provide will be kept strictly confidential and not be shared with [CBO name].

Participation in this survey is voluntary. If you participate, you can choose not to answer any questions you do not wish to answer. You can stop the survey at any time. If you do not participate, this will not have any effect on your relationship with [CBO name]. However, your views are important, and we hope that you will participate in this survey.

Will you participate in this survey? At this time, do you want to ask me anything about the survey?

Signature of respondent	Date
Print name of respondent	Respondent's age
Signature of interviewer	Date

**RESPONDENT AGREES TO BE INTERVIEWED** 

If respondent agrees to participate, continue with the questionnaire.

RESPONDENT DOES NOT AGREE TO BE INTERVIEWED

If respondent does not want to participate, thank the person and do not include them in the survey.

Benchmark 1 (1.1.1): Known HIV status (or test not required)				
Question	Response			
Answer the following questions using the casefile:				
1.1. Has <u>each child, adolescent, and youth</u> in the household been documented as "HIV status positive," "HIV status negative," or "test not required based on risk," according to an HIV risk assessment?	1.1. Yes 🗌 No 🗌			
1.2. Has <u>each primary caregiver</u> in the household been documented as "HIV status positive," "HIV status negative," or "test not required based on risk," according to an HIV risk assessment?	1.2. Yes 🗌 No 🗌			
If Questions 1.1 and 1.2 are answered Yes, Benchmark 1 has been met.	Has Benchmark 1 been met? Yes No			

Benchmark 2 (1.2.1): Virally suppressed				
Question	Response			
If there is no child, adolescent, youth, or primary caregiver in the HH living with HIV, skip this section. Tick Not Applicable (N/A) and proceed to Benchmark 3.	N/A Beneficiary's ID:			
Answer the following questions for <u>each</u> child, adolescent, youth, or primary caregiver in the household living with HIV, using additional pages if needed. Use Option (a) <i>or</i> (b) based on whether viral load testing results have been documented in the casefile.				
<i>Option (a):</i> Complete this section if viral load testing results are documented in the casefile. Answer the following question using the casefile.				
2.1. Has this beneficiary been documented as virally suppressed (<1,000 copies/mL) for the past 12 months?	2.1. Yes 📄 No 🗌			
<i>Option (b):</i> Complete this section if viral load testing results are not documented in the casefile. Answer the following question using the casefile.				
2.2. In the past 12 months, has this beneficiary been taking antiretroviral therapy (ART) pills as prescribed? <i>This means that the casefile shows that at every monthly or quarterly visit in the past 12 months, the beneficiary was taking ART pills as prescribed.</i>	2.2. Yes 📄 No 🗌			
2.3. In the past 12 months, has this beneficiary been regularly attending ART appointments and picking up ART pills on schedule? <i>This means that the casefile shows that at <u>every</u> monthly or quarterly visit in the past 12 months, the beneficiary was regularly attending ART appointments and picking up ART pills on schedule.</i>	2.3. Yes 📄 No 🗌			
<i>Option (a):</i> If Question 2.1 is answered Yes, the beneficiary has met Benchmark 2.	Has Benchmark 2 been met for this beneficiary?			
<i>Option (b):</i> If Questions 2.2 <u>and</u> 2.3 are answered Yes, the beneficiary has met Benchmark 2.	Yes 📄 No 🗌			

Benchmark 3 (1.3.1): Knowledgeable about HIV prevention				
Question	Response			
If there is no adolescent ages 10–17 in the household, skip this section. Tick N/A and proceed to Benchmark 4. Ask the following questions of <u>each</u> adolescent ages 10–17 in the HH. Each adolescent should be interviewed separately in a private location where no one else can hear, and using a separate page. If the adolescent's statements are not clear, request more information using probes such as, "I am not sure I understand. Can you tell me more about that?" Tick the box next to <u>each</u> item that the adolescent mentions. Do not read the list of HIV risks or HIV prevention strategies to the adolescent. His or her responses should be unprompted.	N/A Adolescent's ID:			
<ul> <li>3.1. Can you tell me how a young person your age living in your community might become infected with HIV?</li> <li>The adolescent must describe two risks to meet Benchmark 3. If he or she has described only one HIV risk listed below, ask, "Can you tell me any other ways a young person in your community might become infected with HIV?"</li> </ul>	3.1. Has the adolescent identified at least <u>two</u> HIV risks? YesNo			
<ul> <li>Early sex (starting sex young)</li> <li>Sex with an older partner</li> <li>Sex with an older partner</li> <li>Sex with multiple partners</li> <li>Sex with a partner who has multiple partners</li> <li>Sex with a partner who has multiple partners</li> <li>Sex with a partner who has multiple partners</li> <li>Sex young person your age living in your community might help protect himself or herself from becoming infected with HIV?</li> <li>The adolescent must describe <u>one</u> prevention strategy to meet Benchmark 3.</li> </ul>	3.2. Has the adolescent identified at least <u>one</u> HIV prevention strategy?			
If he or she has not described any of the strategies below, ask, "Can you tell me any other ways a young person might help protect himself or herself against HIV?" Having one sexual partner Delaying sex or abstinence Having a sexual partner who Using a condom during sex is HIV negative Having a partner who does not Not having sex for money or	Yes No			
have other sexual partners gifts, or transactional sex This section involves open-ended questions that will require you to make a judgment regarding whether Benchmark 3 has been met. The criterion is that the adolescent demonstrates an understanding of HIV risk and prevention, not that he or she gives an answer matching the questionnaire word for word.				
If Questions 3.1 <u>and</u> 3.2 are answered Yes, this beneficiary has met Benchmark 3.	Has Benchmark 3 been met for this beneficiary? Yes No			

Benchmark 4 (1.4.1): Not malnourished					
Question	Response				
If there are no children <5 years of age in the household, skip this section. Tick N/A and proceed to Benchmark 5.	N/A Child's ID:				
For a child under the age of 6 months, do not assess the MUAC and bipedal edema. Visually assess any child under the age of 6 months. If the child looks undernourished according to your judgment, the child has not met Benchmark 4.					
The following assessment should be done for <u>each</u> child ages 6-59 months.					
Use additional pages if necessary.					
Assess the child's mid-upper arm circumference (MUAC) and bipedal edema if you have been trained in how to conduct these assessments. If you have not received this training, request that the MUAC be measured by a health worker or caseworker who has been trained in assessing the MUAC and bipedal edema.					
4.1. Is the child's MUAC more than 12.5 cm?	4.1. Yes 📄 No 🗌				
4.2. Is the child free of any signs of bipedal edema?	4.2. Yes 🗌 No 🗌				
If Questions 4.1 <u>and</u> 4.2 are answered Yes (for a child ages 6-59 months), the child has met Benchmark 4. If a child under the age of 6 months looks undernourished according to your judgment, tick No.	Has Benchmark 4 been met for this beneficiary? Yes No 🗌				

Benchmark 5 (2.1.1): Improved financial stability				
Ques	stion	Response		
	ollowing questions should be asked of one primary caregiver as defined e project.	Primary caregiver's ID:		
5.1.	Were you or another caregiver in the household able to pay school fees for the last school year for all children in your household under the age of 18?	5.1. Yes 📄 No 🗌		
5.2.	Were you able to pay these school fees without using a transfer, grant, or scholarship from [name of CBO or OVC project]?	5.2. Yes 📄 No 🗌		
5.3.	Were you able to pay for these school fees without selling something used to generate income that you did not plan or want to sell, such as livestock, land for agriculture, tools, or equipment for a business?	5.3. Yes No		
5.4.	Were you (or another caregiver in the household) able to pay all medical costs in the past 6 months for all children in your household under the age of 18? Medical costs include medicine, clinic fees, and transport to medical appointments.			
5.5.	Were you able to pay for these medical costs without using a cash transfer, grant, or scholarship from [name of CBO or OVC project]?	5.5. Yes No		
5.6.	Were you able to pay for these medical costs without selling something used to generate income that you did not plan or want to sell, such as livestock, land for agriculture, tools, or equipment for a business?			
	estions 5.1, 5.2, 5.3, 5.4, 5.5, and 5.6 are <u>all</u> answered Yes, Benchmark 5 een met.	Has Benchmark 5 been met? Yes No		

Benchmark 6 (3.1.1): No violence					
Ques	tion	Response			
prima house careg If the refer orgar	ollowing questions should be asked of a female primary caregiver (one ary caregiver only). If there is only a male primary caregiver in the schold, and there are no female primary caregivers, the male primary iver should be asked Questions 6.1, 6.2, and 6.3, but not Question 6.4. re is any record or evidence that a member of the household has been red to the police, child protection services, or another social services hization because of violence in the past six months, Benchmark 6 is not In this case, skip this section and proceed to Benchmark 7.	Primary caregiver's ID:			
other you s to tel are co durin have perso	to caregiver: Sometimes people, even children, experience violence or abust places outside of the household. I want to ask you some questions about violence and a ome questions about whether you yourself have experienced violence and a I me whether any children in your household have experienced violence and onfidential, and I will not tell your spouse or partner, or anyone else in your g this part of the interview. Please tell me about any violence or abuse you of experienced, whether it happened in your household or outside of your house on who mistreated you or your children was a family member or someone el mistreated, it is not your fault.	olence and abuse. I will ask abuse and I will also ask you d abuse. All of your answers household, what you said or children in your household usehold, and whether the			
6.1.	In the past 6 months, have you been punched, kicked, choked, or beaten by a spouse or partner, or any other adult?	6.1. Yes 📄 No 🗌			
6.2.	In the past 6 months, are you aware of any child, adolescent, or youth in your household being punched, kicked, choked, or beaten by an adult?	6.2. Yes 📄 No 🗌			
6.3.	In the past 6 months, are you aware of any child, adolescent, or youth in your household being touched in a sexual way or forced to have sex against his or her will? Touching in a sexual way could include fondling, pinching, grabbing, or touching a child, adolescent, or youth on or around his or her sexual body parts.	6.3. Yes No			
6.4.	In the past 6 months, has anyone tried to make you have sex against your will? Please answer "yes" even if this person was a spouse or partner, and even if he tried but did not succeed in making you have sex.				
If Questions 6.1, 6.2, 6.3, <u>and</u> 6.4 are all answered No, Benchmark 6 has been met.		Has Benchmark 6 been met?			
If the	primary caregiver refuses to answer a question, this should be taken as nce of possible violence or abuse, and Benchmark 6 is not met.	Yes No			

Benchmark 7 <sup>(3.1.2)</sup> : Not in a child-headed household			
Question	Response		
The following question should be asked of the primary caregiver as defined by the project (one caregiver only). A stable adult caregiver is defined as an adult who has cared for and lived in the same household as the child/adolescent for at least the past 12 months.			
7.1. During the past 12 months, have all children and adolescents in the household been under the care of a stable adult caregiver?	7.1. Yes 🗌 No 🗌		
If Question 7.1 is answered Yes, Benchmark 7 has been met. If you have any evidence that the household has been child headed during the past 12 months, Benchmark 7 is not met.	Has Benchmark 7 been met? Yes No 🗌		

Benchmark 8 (4.1.1): Children in school			
Question		Response	
If there are no children or adolescents ages 6–17 years in the household, skip this section. Tick N/A and proceed to the Final Assessment.		N/A 🗌	
The following questions should be asked of the primary caregiver as defined by the project (one caregiver only). Review available records if possible.			
8.1.	Are all children and adolescents in the household ages 6–17* enrolled in school?	8.1. Yes 🗌 No 🗌	
8.2.	Have all children and adolescents in the household ages 6–17* attended school regularly over the past year (at least 4 days a week on average)?	8.2. Yes 📄 No 🗌	
8.3.	Did all children and adolescents in the household ages 6–17* progress to the next level or grade, from last school year to this school year? (In other words, no child or adolescent had to repeat a level or grade this year.)	8.3. Yes 🗌 No 🗌	
If Qu	estions 8.1, 8.2, <u>and</u> 8.3 are answered Yes, Benchmark 8 has been met.	Has Benchmark 8 been met?	
		Yes 📄 No 🗌	

\*Note: The minimum and maximum ages at which children and adolescents must be enrolled in school to meet Benchmark 8 may be modified according to country guidelines or national policy. For example, if national policy is that children and adolescents are required to attend school only between the ages of 7 and 15, the age range specified in Questions 8.1, 8.2, and 8.3 may be changed to 7–15 years. The maximum age cannot be increased to more than 17 years. Do not ask about youth in the household aged 18-20 who are still in secondary school, even if they are program beneficiaries.

### **Final Assessment**

Instructions: Answer the following questions I evaluation.	oased on today's	Does this response match the response given when the HH was graduated?		
Benchmark 1 (1.1.1): Known HIV status (or test not required)				
Has Benchmark 1 been met for all members of the HH?	Yes 🗌 No 🗌	Yes 🗌 No 🗌		
Benchmark 2 (1.2.1): Virally suppressed				
Has Benchmark 2 been met for <u>each</u> beneficiary who was assessed?	Yes 🗌 No 🗌 N/A 🗌	Yes 🗌 No 🗌		
Benchmark 3 (1.3.1): Knowledgeable about HIV prevention				
Has Benchmark 3 been met for <u>each</u> beneficiary who was assessed?	Yes 🗌 No 🗌 N/A 🗌	Yes 🗌 No 🗌		
Benchmark 4 (1.4.1): Not undernourished				
Has Benchmark 4 been met for <u>each</u> beneficiary who was assessed?	Yes 🗌 No 🗌 N/A 🗌	Yes 🗌 No 🗌		
Benchmark 5 (2.1.1): Improved financial stability				
Has Benchmark 5 been met for the HH?	Yes 🗌 No 🗌	Yes 🗌 No 🗌		
Benchmark 6 (3.1.1): No violence				
Has Benchmark 6 been met for the HH?	Yes 🗌 No 🗌	Yes 🗌 No 🗌		
Benchmark 7 <sup>(3.1.2)</sup> : Not in a child-headed household				
Has Benchmark 7 been met for the HH?	Yes 🗌 No 🗌	Yes 🗌 No 🗌		
Benchmark 8 (4.1.1): Children in school				
Has Benchmark 8 been met for all school- age children and adolescents in the HH?	Yes 🗌 No 🗌 N/A 🗌	Yes 🗌 No 🗌		
As of today, have all applicable benchmarks been met? (Benchmarks 1–8 ticked Yes or N/A)	Yes 🗌 No 🗌	Yes 🗌 No 🗌		

IF BENCHMARKS 2, 3, OR 4 REQUIRE ASSESSING MORE THAN ONE MEMBER OF THE HOUSEHOLD, USE THE FOLLOWING ADDITIONAL PAGES AS NEEDED

### **BENCHMARK 2: ADDITIONAL PAGE**

Benchmark 2 (1.2.1): Virally suppressed			
Question	Response		
If there is no child, adolescent, youth, or primary caregiver in the HH living with HIV, skip this section. Tick N/A and proceed to Benchmark 3. Answer the following questions for <u>each</u> child, adolescent, youth, or primary caregiver in the household living with HIV, using additional pages if needed. Use Option (a) <i>or</i> (b) based on whether viral load testing results have been	N/A 🗌 Beneficiary's ID:		
documented in the casefile. <i>Option (a):</i> Complete this section if viral load testing results are documented in the casefile. Answer the following question using the casefile.			
2.1. Has this beneficiary been documented as virally suppressed (<1,000 copies/mL) for the past 12 months?	2.1. Yes 📄 No 🗌		
<i>Option (b):</i> Complete this section if viral load testing results are not documented in the casefile. Answer the following question using the casefile.			
2.2. In the past 12 months, has this beneficiary been taking ART pills as prescribed? This means that the casefile shows that at <u>every</u> monthly or quarterly visit in the past 12 months, the beneficiary was taking ART pills as prescribed.	2.2. Yes 📄 No 🗌		
2.3. In the past 12 months, has this beneficiary been regularly attending ART appointments and picking up ART pills on schedule? <i>This means that the casefile shows that at every monthly or quarterly visit in the past 12 months, the beneficiary was regularly attending ART appointments and picking up ART pills on schedule.</i>	2.3. Yes 📄 No 🗌		
<i>Option (a):</i> If Question 2.1 is answered Yes, the beneficiary has met Benchmark 2.	Has Benchmark 2 been met for this beneficiary?		
<i>Option (b):</i> If Questions 2.2 <u>and</u> 2.3 are answered Yes, the beneficiary has met Benchmark 2.	Yes 📄 No 🗌		

### **BENCHMARK 3: ADDITIONAL PAGE**

Benchmark 3 (1.3.1): Knowledgeable about HIV prevention			
Question	Response		
If there is no adolescent ages 10–17 in the household, skip this section. Tick N/A and proceed to Benchmark 4. Ask the following questions of <u>each</u> adolescent ages 10–17 in the household. Each adolescent should be interviewed separately in a private location where no one else can hear, and using a separate page. If the adolescent's statements are not clear, request more information using probes such as, "I am not sure I understand. Can you tell me more about that?" Tick the box next to <u>each</u> item that the adolescent mentions. Do not read the list of HIV risks or HIV prevention strategies to the adolescent. His or her responses should be unprompted.	N/A Adolescent's ID:		
<ul> <li>3.1. Can you tell me how a young person your age living in your community might become infected with HIV?</li> <li>The adolescent must describe two risks to meet Benchmark 3. If he or she has described only one HIV risk listed below, ask, "Can you tell me any other ways</li> </ul>	3.1. Has the adolescent identified at least <u>two</u> HIV risks? Yes No No		
a young person in your community might become infected with HIV?"  Early sex (starting sex young) Sex without a condom Sex with an older partner Sex with an older partner Sex with an older partner Sex with a partner who has multiple partners Sex with a partner who has multiple partner Delaying sex or abstinence Having a sexual partner who does not have other sexual partners This section involves open-ended questions that will require you to make a judgment regarding whether Benchmark 3 has been met. The criterion is that the adolescent demonstrates an understanding of HIV risk and prevention, not that he or she gives an answer matching the questionnaire word for word.	3.2. Has the adolescent identified at least one HIV prevention strategy?         Yes       No		
If Questions 3.1 <u>and</u> 3.2 are answered Yes, this beneficiary has met Benchmark 3.	Has Benchmark 3 been met for this beneficiary? Yes No No		

### **BENCHMARK 4: ADDITIONAL PAGE**

Benchmark 4 (1.4.1): Not malnourished			
Question	Response		
If there are no children <5 years of age in the household, skip this section. Tick N/A and proceed to Benchmark 5.	N/A 🗌		
For a child under the age of 6 months, do not assess the MUAC and bipedal edema. Visually examine any child under the age of 6 months. If the child looks undernourished according to your judgment, the child has not met Benchmark 4.	Child's ID:		
The following assessment should be done for <u>each</u> child ages 6- 59 months. Use additional pages if necessary.			
Assess the child's MUAC and bipedal edema if you have been trained in how to conduct these assessments. If you have not received this training, request that the MUAC be measured by a health worker or caseworker who has been trained in assessing the MUAC and bipedal edema.			
4.1. Is the child's MUAC more than 12.5 cm?	4.1. Yes 🗌 No 🗌		
4.2. Is the child free of any signs of bipedal edema?	4.2. Yes 🗌 No 🗌		
If Questions 4.1 <u>and</u> 4.2 are answered Yes (for a child ages 6-59 months), the child has met Benchmark 4.	Has Benchmark 4 been met for this beneficiary?		
If a child under the age of 6 months looks undernourished according to your judgment, tick No.	Yes 📄 No 🗌		

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